

## Form No. MGT-9

**EXTRACT OF ANNUAL RETURN**  
**as on the financial year ended on 31/03/2020**

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1)  
of the Companies (Management and Administration) Rules, 2014]

**I. REGISTRATION AND OTHER DETAILS:**

- i) CIN: - **U65993WB1988PLC044077**
- ii) Registration Date: 05/04/1988
- iii) Name of the Company: PEERLESS FINANCIAL SERVICES LIMITED
- iv) Category / Sub-Category of the Company: Indian Non-Government company/  
Company limited by shares
- v) Address of the Registered office and contact details:  
  
Peerless Bhavan, 3, Esplanade East, Kolkata-700069,  
Phone: 2262-5663, Fax: 2262-5664
- vi) Whether listed company: Yes-/ No
- vii) Name, Address and Contact details of Registrar and Transfer  
  
Agent, if any: C.B. Management Services ( P) Ltd.  
P-22 Bondel Road,  
Kolkata- 700019  
Phone : (033) 2280-6692

**II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY**

All the business activities contributing 10% or more of the total turnover of the company shall be stated:-

Sl. No.	Name and Description of main products / services	NIC Code of the Product/ service	% to total turnover of the company
1	Other financial service activities, except insurance and pension funding activities	649	100

### III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES –

S. NO	NAME AND ADDRESS OF THE COMPANY	CIN/ GLN	HOLDING/ SUBSIDIARY/ ASSOCIATE	% of shares held	Applicable Section
1	The Peerless General Finance & Investment Co. Ltd	U66010WB1932PLC007490	Holding Company	92.57	2(46)

### IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

#### i) Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>A. Promoters</b>									—
<b>(1) Indian</b>									
a) Individual/ HUF	-	2,65,501	2,65,501	0.71	133125	138001	271126	0.7277	+0.0177
b) Central Govt	-	-	-	-	-	-	-	-	-
c) State Govt (s)	-	-	-	-	-	-	-	-	-
d) Bodies Corp.	-	36583251	36583251	98.18	574000	36011251	36585251	98.1946	+0.0046
e) Banks / FI	-	-	-	-	-	-	-	-	-
f) Any Other....	-	-	-	-	-	-	-	-	-
<b>Sub-total (A) (1):-</b>	-	36848752	36848752	98.90	707125	36149252	36856377	98.9223	+0.02

<b>(2) Foreign</b>									
a) NRIs - Individuals	-	-	-	-	-	-	-	-	-
b) Other – Individuals	-	-	-	-	-	-	-	-	-
c) Bodies Corp.	-	-	-	-	-	-	-	-	-
d) Banks / FI	-	-	-	-	-	-	-	-	-
e) Any Other....									
<b>Sub-total (A) (2):-</b>	-	-	-						
<b>Total shareholding of Promoter (A) = (A)(1)+(A)(2)</b>	-	36848752	36848752	98.90%	707125	36149252	36856377	98.92%	+0.02
<b>B. Public Shareholding</b>									
<b>1. Institutions</b>									
a) Mutual Funds	-	-	-	-	-	-	-	-	-
b) Banks / FI	-	-	-	-	-	-	-	-	-
c) Central Govt	-	-	-	-	-	-	-	-	-
d) State Govt(s)	-	-	-	-	-	-	-	-	-
e) Venture Capital Funds	-	-	-	-	-	-	-	-	-
f) Insurance Companies	-	-	-	-	-	-	-	-	-
g) FIs	-	-	-	-	-	-	-	-	-
h) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-

i) Others(specify)	-	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-	-
<b>Sub-total (B)(1):-</b>	-	-	-	-	-	-	-	-	-
<b>2. Non- institutions</b>									
a) Bodies Corp.									
i) Indian	-	25000	25000	0.07	10000	15000	25000	0.07	-
ii) Overseas	-	-	-	-	-	-	-	-	-
<b>b) Individuals</b>									
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	3510	380646	384156	1.03	4590	371941	376531	1.01	-0.02
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	-	-	-	-	-	-	-	-	-
c) Others (specify)									
<b>Sub-total (B)(2):-</b>	3510	405646	409156	1.10	14590	386941	401531	1.08	-0.02
Total Public Shareholding (B)=(B)(1)+ (B)(2)	3510	405646	409156	1.10	14590	386941	401531	1.08	-0.02
C. Shares held by Custodian for GDRs & ADRs	-	-	-	-	-	-	-	-	-
<b>Grand Total (A+B+C)</b>	<b>3510</b>	<b>3,72,54,398</b>	<b>3,72,57,908</b>	<b>100</b>	<b>721715</b>	<b>36536193</b>	<b>3,72,57,908</b>	<b>100</b>	<b>-</b>

**ii) Shareholding of Promoters**

Sl No.	Shareholder's Name	Shareholding at the beginning of the year			Share holding at the end of the year			
		No. of Shares	% of total Shares of the company	%of Shares Pledged/ encumbered to total shares	No. of Shares	% of total Shares of the company	%of Shares Pledged / encumbered to total shares	
1	The Peerless General Finance & Investment Company Ltd.	3,44,89,401	92.57	-	34489401	92.57	-	-
2	Shikha Holding Pvt. Ltd.	875275	2.35	-	875275	2.35		
3	Bichitra Holdings Pvt. Ltd.	646575	1.7354	-	648575	1.7408	-	+0.01
4	Peerless Financial Products Distribution Ltd.	572000	1.54	-	572000	1.54	-	-
5	Sunil Kanti Roy	27,501	0.07	-	33126	0.089	-	+0.01
6	Jayanta Roy	1,25,000	0.34	-	125000	0.34	-	-
7	Shikha Roy	62,500	0.17	-	62500	0.17	-	-
8	Tushar Kanti Roy	500	0.001	-	500	0.001	-	-
9	Debasree Roy	50,000	0.13	-	50000	0.13		
	Total	3,68,48,752	98.90	-	36856377	98.92	-	+0.02

**(iii) Change in Promoters' Shareholding ( please specify, if there is no change)**

Sl. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	<b>3,68,48,752</b>	<b>98.90</b>		
	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / <b>transfer</b> / bonus/ sweat equity etc):	7625	0.02	36856377	<b>98.92</b>
	At the End of the year			<b>3,68,56,377</b>	<b>98.92</b>

**(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):**

Sl. No.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	PEERLESS ADVTG & ALLIED SER P LTD	10000	0.027	10000	0.027
2	T T INVESTMENTS PVT LTD	10000	0.027	10000	0.027
3	EL GRAPHICUS PVT LTD	5000	0.013	5000	0.013
4	VINAYAK G PAWAR	4000	0.011	4000	0.011
5	ASIS KUSUM CHATTERJEE	2500	0.007	2500	0.007
6	SUBHRANGSHU CHAKRABARTI	2000	0.005	2000	0.005
7	KAKOLI SEN	2000	0.005	2000	0.005
8	PUSHPALATA L BANGERA	1400	0.004	1400	0.004
9	KISHAN KUMAR GANDHI	1250	0.003	1250	0.003
10	AJIT KUMAR CHATTERJEE	1250	0.003	1250	0.003

**(v) Shareholding of Directors and Key Managerial Personnel:**

Sl. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	<b>For Each of the Directors and KMP</b>				
	Sunil Kanti Roy				
	At the beginning of the year	<b>27501</b>	<b>0.07</b>	--	-----
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase/ decrease (e.g. allotment / transfer / bonus/ sweat equity etc):			---	----
	At the End of the year			<b>33126</b>	<b>0.089</b>
2	Bhargab Lahiri				
	At the beginning of the year	<b>200</b>	<b>0.0005</b>		
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):			----	-----
	At the End of the year			<b>200</b>	<b>0.0005</b>
3	Asoke Kumar Mukhuty				
	At the beginning of the year	<b>1451</b>	<b>0.0039</b>		
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	-	-	-	-
	At the End of the year			1451	<b>0.0039</b>



4	Abhishek Tantia				
	At the beginning of the year	10	0.00002		
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / <b>transfer</b> / bonus/ sweat equity etc):	0	0	10	0.00002
	At the End of the year			10	<b>0.00002</b>
5	Partha Bose				
	At the beginning of the year	10	0.00002		
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / <b>transfer</b> / bonus/ sweat equity etc):	0	0	10	0.00002
	At the End of the year			10	<b>0.00002</b>
6	Biswajit Das				
	At the beginning of the year	10	0.00002		
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / <b>transfer</b> / bonus/ sweat equity etc):	0	0	10	0.00002
	At the End of the year			10	<b>0.00002</b>
		<b>29,182</b>	<b>0.0744</b>	<b>34,807</b>	<b>0.0934</b>

## V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
<b>Indebtedness at the beginning of the financial year</b>				
i) Principal Amount	NIL	NIL	NIL	NIL
ii) Interest due but not paid	NIL	NIL	NIL	NIL
iii) Interest accrued but not due	NIL	NIL	NIL	NIL
<b>Total (i+ii+iii)</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
<b>Change in Indebtedness during the financial year</b>				
· Addition	NIL	NIL	NIL	NIL
· Reduction	NIL	NIL	NIL	NIL
<b>Net Change</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
<b>Indebtedness at end of the financial year</b>				
i) Principal Amount	NIL	NIL	NIL	NIL
ii) Interest due but not paid	NIL	NIL	NIL	NIL
iii) Interest accrued but not due	NIL	NIL	NIL	NIL
<b>Total (i+ii+iii)</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>

**VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

*A. Remuneration to Managing Director, Whole-time Directors and/or Manager:*

Sl No	Particulars of Remuneration	Name of MD/WTD/ Manager	Total Amount
		Mr. Abhishek Tantia, Managing Director & CEO	
1	Gross salary (a) Salary as per provisions contained in section 17(1) of the Income-tax Act,1961  (b) Value of perquisites u/s 17(2) of the Income-tax Act,1961  (c) Profits in lieu of salary under section 17(3) of the Income- tax Act, 1961	28,88,697  4,24,079  -	28,88,697  4,24,079  -
2	Stock Option	-	-
3	Sweat Equity	-	-
4	Commission - as % of profit - others, specify	-	-
5	Others, please specify	-	-
6	Total (A)	33,12,776	33,12,776

*B. Remuneration to other directors:*

Sl. no.	Particulars of Remuneration	Name of Directors						Total Amount
		Mr. Deepak Mukerjee	Mr. S.K. Roy	Mr. A.K. Mukhuty	Mr. B. Lahiri	Mr. D. Chatterji	Mr. K Balasubramanian	
	1. Independent Directors							
	- Fee for attending board/ committee meetings	1,50,000	-	-	-	1,50,000	-	3,00,000
	- Commission	-	-	-	-	-	-	-
	- Others, please specify	-	-	-	-	-	-	-
	Total (1)	1,50,000	-	-	-	1,50,000	-	3,00,000
	2. Other Non-Executive Directors							
	- Fee for attending board/ committee meetings	-	60,000	1,60,000	1,20,000	-	1,20,000	4,60,000
	- Commission	-	-	-	-	-	-	-
	- Others, please	-	-	-	-	-	-	-
	Total (2)	-	60,000	1,60,000	1,20,000	-	1,20,000	4,60,000
	Total (B)=(1+2)	1,50,000	60,000	1,60,000	1,20,000	1,50,000	1,20,000	7,60,000
	Total Managerial Remuneration							40,72,776
	Overall Ceiling as per the Act	As per resolutions passed by Nomination & Remuneration Committee, Board of Directors and Special Resolution passed by the shareholders as per relevant sections of the Companies Act and the Schedule made thereunder.						

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

Sl. no	Particulars of Remuneration	Key Managerial Personnel		
		Company Secretary	CFO	Total
1.	Gross salary (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	11,35,704	5,52,293	15,19,070
	(b) Value of perquisites u/s 17(2) of the Income-tax Act, 1961	21,000	21,000	88,834
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-
2.	Stock Option	-	-	-
3.	Sweat Equity	-	-	-
4.	Commission - as % of profit - others, specify...	-	-	-
5.	Others, please specify	-	-	-
	Total	11,56,704	5,73,293	17,29,997

## II. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty/Punishment/Compounding fees imposed	Authority (RD/NCIT/Court)	Appeal made if any (give details)
<b>A. Company</b>					
Penalty					
Punishment					
Compounding			None		
<b>B. DIRECTORS</b>					
Penalty					
Punishment			None		
Compounding					
<b>C. OTHER OFFICERS IN DEFAULT</b>					
Penalty					
Punishment			None		
Compounding					

For and on behalf of the Board

Kolkata  
Dated :

Deepak Mukerjee  
Chairman

Registered Office:  
"PEERLESS BHAVAN"  
3 Esplanade East  
Kolkata 700 069.